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By   
Eric L. Maldonado

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Attorney Docket No. 100809-00103 (SCEY 19.261)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Inventor: Hiroshi OHTSU, et al.

Serial No.: 10/025,589 ✓

Filed: December 17, 2001

Title: **OPTICAL DISK APPARATUS AND METHOD FOR...**

Examiner:

Art Unit:

May 23, 2002

Assistant Commissioner for Patents  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT**

S I R:

In order to comply with discretionary rules 37 CFR §§1.97 and 1.98, attached hereto is a copy of Form PTO-1449 and copies of the documents listed thereon. These documents contain information which the Examiner may consider to be important in deciding whether to issue a patent in the instant application.

As this statement is being filed within three months of a search report, no fee is due.

Also attached is a copy of a International Search Report dated April 3, 2002 from the corresponding International Patent Application. All of the documents listed in Form PTO- 1449 appear in the International Search Report.

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As some of these documents are written in a language other than English, English Language abstracts and translations are annexed thereto explaining their relevancy in accordance with 37 CFR §1.98(a)(3).


Each item of information contained in the information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.

The present Information Disclosure Statement is being submitted in compliance with 37 CFR 1.56 as an Examiner might consider any cited document important in deciding whether to allow the application to issue as a patent, but the citation of each document is not to be construed as an admission that such document is necessarily relevant or prior art. No representation is intended that the cited documents represent the results of a complete search, and it is anticipated that the Examiner in the normal course of examination, will make an independent search and will determine the best prior art consistent with 37 CFR 1.104 (a) and 1.106 (b), and in the course of such search will review for relevance every document cited on the attached form even if not initialed.

Early and favorable consideration is respectfully solicited.

**Any fee due with this paper may be charged on Deposit Account 50-1290.**

Respectfully submitted,

  
\_\_\_\_\_  
Thomas J. Bean  
Reg. No. 44,528

Katten Muchin Zavis Rosenman  
575 MADISON AVENUE  
15TH FLOOR  
NEW YORK, NEW YORK 10022-2585  
(212) 940-8800  
DOCKET NO. 100809-00103(SCEY 19.261)

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1449/PTO

U.S. Department of Commerce

Patent and Trademark Office

Application No. : 10/021,589

Filing Date : DECEMBER 1 2001

First Named Inventor: H. OHTSU

Group Art Unit :

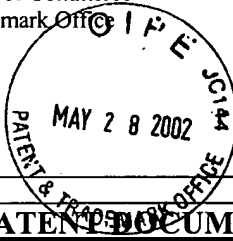
Examiner Name :

Attorney Docket No. : SCEY 19.261

# **INFORMATION DISCLOSURE**

## **STATEMENT BY APPLICANT**

Sheet 1 of 1



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## **U.S. PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	U.S. Patent Document	Kind Code if known <sup>2</sup>	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns Lines Where Relevant Passages or Relevant Figures Appear
		6,115,334		TSUTSUI, et al.	09/05/2000	

## **FOREIGN DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document Office <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Country	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns Lines Where Relevant Passages or Relevant Figures Appear
		0 609 882	EP	SONY CORPORATION	08/10/1994	
		0 840 295	EP	SONY CORPORATION	05/06/1998	
		1 043 717	EP	SONY CORPORATION	10/11/2000	
		2000-149282	JP	CLARION CO LTD	05/30/2000	
		10-83543	JP	CLARION CO LTD	03/31/1998	

## **Other Prior Art-Non Patent Literature Documents**

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), data, page(s), volume-issue number(s), publisher, country, where published, source.	Applicant check her if English language translation attached
Examiner Signature		Date Considered	

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw a line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that Issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.1<sup>6</sup> if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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